

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/708,013

02/02/2004

Brian K. Fettig

717841.6

**CONFIRMATION NO. 2012** 

27128 BLACKWELL SANDERS PEPER MARTIN LLP 720 OLIVE STREET **SUITE 2400** ST. LOUIS, MO 63101



\*OC00000012733257\*

Date Mailed: 05/20/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

07/22/2004 DEMMANU1 00000067 110160

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (01/03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TO ANICM	TTAL	Complete if Known				
FEE TRANSM	LIIAL	Application Number	10/708,013			
far FY 200	14	Filing Date	February 2, 2004			
JUL 2 0 200 <b>FEY 2004</b> Effective 10/01/2003. Patent fees are subject to annual revision.		First Named Inventor	Brian K. Fettig, et al.			
		Examiner Name	Not yet assigned			
Applicant claims small entity status. S	See 37 CFR 1.27	Art Unit	3621	- 11 <del>-</del> 1		
TOTAL AMOUNT OF PAYMENT	(\$) 130.00	Attorney Docket No.	717841.6			

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)							
Check Credit card Money Other None					3. AD	DITION		<del></del>				
Deposit Account: Order				Large	Entity	Small	Entity					
Deposit Account: Order			Fee	Fee	Fee	Fee	Fee Description					
Accou			11-	0160			Code	(\$)	Code	(\$)	•	Fee Paid
Numb Depos						<b>」</b> □	1051	130	2051	65	Surcharge - late filing fee or oath	130.00
Accou Name	int   BL	ACKWELL	SANDE	RS PEPER I	MARTIN LLP		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
The Con	nmissio	ner is au	thorize	ed to: <i>(ch</i>	eck all that a	. ( <i>ylaa</i> r	1053	130	1053	130	Non-English specification	
			_		any overpayr		1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charg	e any addi	tional fee(s	) during	the penden	cy of this appli	cation	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
L_Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action				
		FEE	CALCL	JLATIO	N		1251	110	2251	<b>5</b> 5	Extension for reply within first month	
1. BAS	IC FILI	NG FEE					1252	420	2252	210	Extension for reply within second month	_
Large I	Entity	Small	Entity	•			1253	950	2253	475	Extension for reply within third month	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee D	<u>escription</u>	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
1001	770	2001	385	Utility	filing fee		1255	2,010	1155	1,005	Extension for reply within fifth month	
1002	340	2002	170	Design	filing fee		1401	330	2401	165	Notice of Appeal	
1003	530	2003	265	Plant f	iling fee		1402	330	2402	165	Filing a brief in support of an appeal	
1004	770	2004	385	Reissu	e filing fee		1403	290	2403	145	Request for oral hearing	
1005	160	2005	80	Provisio	nat filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
	•	•		SUBTOTAL	(1) (\$		1452	110	2452	55	Petition to revive - unavoidable	
2. EXT	RA CLA	IM FEE	S FOR	UTILIT	Y AND RE	ISSUE	1453	1,330	2453	665	Petition to revive - unintentional	
			Extra (		Fee from below	Fee Paid	1501	1,330	2501	665	Utility issue fee (or reissue)	
Total Cla	ims	-20**	=	×	=		1502	480	2502	240	Design issue fee	
Independ Claims	dent	-3**	=	×	=		1503	640	2503	320	Plant issue fee	
Multiple I	Depender	nt			=		1460	130	1460	130	Petitions to the Commissioner	
Large E	Entity	Small	Entity	`			1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Des	<u>scription</u>		1806	180	1806	180	Submission of Information Disclosure Stmt	
1202	18	2202		Claims in e	excess of 20		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1201	86	2201	43 II	ndepender	nt claims in ex	cess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203	290	2203 145 Multiple dependent claim, if not paid		1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))				
1204 86 2204 43 ** Reissue independent claims over original patent			1801	770	2801	385	Request for Continued Examination (RCE)					
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent				1802	900	1802	900	Request for expedited examination of a design application				
SUBTOTAL (2) (\$)				Other fee (specify)								
**or number previously paid, if greater; For Reissues, see above			*Reduce	ed by Basi	ic Filing F	ee Paid	<b>SUBTOTAL (3)</b> (\$) 130	.00				

SUBMITTED BY		(Complet	e (if applicable))	
Name (Print/Type) Mark 6/Stallion/	Registration No. (Attorney/Agent)	46, 132	Telephone	314-345-6000
Signature / The Signature			Date	JU1424 04
WARNING: Information on this form	n may become public. Credit card	Information should	not	

be included on this form. Provide credit card information and authorization on PTO-2038. This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/21 (02-04)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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/	OIPE		Application Number	10/708,013
	TRANSMITTAL		Filing Date	February 2, 2004
*1	1 2 9 2004 iil		First Named Inventor	Fettig, Brian K.
E.	(tổ be used for all correspondence after initia	ıl filina)	Group Art Unit	3621
K	PAGE WE TO	3,	Examiner Name	Not yet assigned
		18	Attorney Docket Number	717841.6

ENCLOSURES (check all that apply)							
Fee Transmittal Form		Drawing(s)		After Allowance Communication to Technology Center (TC)			
Fee Attached	d	Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences			
Amendment / Rep	oly	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final		Petition to Convert to a Provisional Application		Proprietary Information			
Affidavits/ded	claration(s)	Power of Attorney, Revocation Change of Correspondence Address		Status Letter			
Extension of Time	e Request	Terminal Disclaimer	$\boxtimes$	Other Enclosure(s) (please identify			
Express Abandon	nment Request	Request for Refund		below); Declaration; Statement Under 37 CFR 3.73b with copy of			
Information Disclo	osure Statement	CD, Number of CD(s)		Assignment; Part 2 Copy – Notice to File Missing Parts; return postcard			
Certified Copy of I	•			posicara			
Response to Miss Incomplete Application	•	Remarks:					
	Missinģ Parts R 1.52 or 1.53		-				
	SIGNAT	URE OF APPLICANT, ATTORNEY, OF	RAGE	NT			
Firm or Mark E. Stallion, Reg. No. 46,132 Individual Name Blackwell Sanders Peper Martin Land							
Signature	11/20	Matthe /					
Date		Tuli 20, 04					
CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Express Mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.  Express Mail Label No.: EV390576809US							
Typed or printed name Jennifer Christopher							
Signature Quinter Christop				20 July 04			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief/Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#### THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Brian K. Fettig, et al.

Examiner: Not yet assigned

Serial No.: 10/708,013

Group Art Unit: 3621

Filed: February 2, 2004

Attorney Docket No.: 717841.6

For: METHOD AND APPARATUS FOR

PROVIDING INTEGRATED

MANAGEMENT OF POINT-OF-SALE

AND ACCOUNTS RECEIVABLE

Customer No.: 027128

Confirmation No.: 2012

### **RESPONSE**

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application, dated May 20, 2004, Applicants enclose herewith:

- 1. Transmittal;
- 2. Fee Transmittal;
- 3. The original, signed Declaration signed by the inventors;
- 4. Power of Attorney;
- 5. Statement Under 37 CFR 3.73(b) with Copy of Assignment;
- 6. Copy of the Notice to File Missing Parts of Nonprovisional Application;

Application of: Brian K. Fettig, et al.

Serial No.: 10/708,013 Response to Missing Parts

7. Return Receipt Postcard.

Respectfully submitted,

Mark E. Stallion

Reg. No. 46,132

Blackwell Sanders Peper Martin LLP

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(314) 345-6000

ATTORNEYS FOR APPLICANT